

REPORT NO 829/97

ANGUS COUNCIL**SOCIAL WORK COMMITTEE 19 AUGUST 1997****REPORT BY THE DIRECTOR OF SOCIAL WORK****SOCIAL WORK CRIMINAL JUSTICE SERVICES
STRATEGIC PLAN 1998/99****ABSTRACT**

This report outlines the Director of Social Work's Draft Strategic Plan for Social Work Services to the Criminal Justice System for the financial year 1998/99. A copy of the draft plan is attached to the report.

1. RECOMMENDATION

It is recommended that the Social Work Committee agree the Strategic Plan for the period 1998/99 to be submitted to the Scottish Office for approval and funding.

2. INTRODUCTION

The Draft Strategic Plan for Social Work Services to the Criminal Justice System 1998/99 meets the requirement outlined in the National Objectives and Standards (Reviewed 1996) for the local authority to submit a strategic plan to the Scottish Office for approval and funding.

The format for this strategic plan has been agreed between the Scottish Office and COSLA. This requires each local authority to provide a brief statement of key objectives and set the target numbers for services to be provided in 1998/99. It was the intention of the Scottish Office to seek a three year strategic plan this year but this has been deferred and a fresh statement will be issued by the Scottish Office and COSLA in the Spring of 1998 for the next annual and first 3 year planning rounds. Members when considering this draft strategic plan will also be aware of the Social Work Department Development Plan which details the full range of Social Work services in Angus and the strategic plan for Social Work Criminal Justice Services for 1997/98.

3 DRAFT STRATEGIC PLANNING PROPOSALS

The two key aims for social work criminal justice services for 1998/99 are outlined in the Draft Strategic plan. These are:-

- To contribute to a safer community through confronting offending behaviour, utilising 'what works', research in reducing offending and assisting the offender to address the causes, attitudes and effects of their behaviour
- To develop various programmes which are feasible in context and match the individuals offenders assessed need.

These key aims are reflected in all areas of the social work criminal justice services and reflect the councils aim of a safer community. The strategic plan also reflects other themes and strategic issues in relation to rural issues reflecting the needs of Angus customer care, poverty, consultation, partnerships, voluntary staff, high quality work and management.

As in previous years the over riding priority of the Scottish Office is that the local authority continue to deliver a quality social work service to the criminal justice system in accordance with National Standards. This Draft Strategic Plan sets out briefly how Angus Social Work Criminal Justice Services will propose to achieve this aim in accordance with National Objectives and Standards and in such a way as to secure and maintain the confidence of the courts, Scottish Prison Service, Parole Board and the community.

The Scottish Office Resource Assumptions for 1998/99 are clearly of restraint in public expenditure. There is therefore little room for funding for new initiatives. Many of the initiatives outlined in the draft Strategic Plan will therefore be met within current funding limitations. It is however clear that the innovative work currently carried in partnership with Dundee and Perth and Kinross Councils in relation to sex offenders continues to increase in demand and develop. It is hoped that appropriate funding can be made available for this important area of work. It is also hoped that if sufficient funding can be made available, diversion from prosecution, work with 15-18 year old offenders and a domestic violence project would be areas in which development would be welcome. The continued development of the victim perspective remains as an objective for 1998/99.

4 FINANCIAL IMPLICATIONS

There are no financial implications for Angus Council arising from this report as Social Work Criminal Justice Services costs are met through the 100% funding arrangements from the Scottish Office.

5 CONSULTATION

The Chief Executive, Director of Law and Administration and the Director of Finance have been consulted in preparation of this report.

6 CONCLUSION

The report reflects the Director of Social Work's proposed Strategic Plan for Social Work Services to the Criminal Justice System. If accepted by committee the Strategic Plan will be forwarded to the Scottish Office for approval.

W B Robertson
Director of Social Work

29 July 1997
PF/AW

Note: No background papers, as defined by Section 50D of the Local Government (Scotland) Act 1973 (other than any containing confidential or exempt information), were relied on to any material extent in preparing this report.

Planning Proposals for the next Planning Year

AUTHORITY: ANGUS COUNCIL

Contact Name: Alan Baird/Peter Flanagan

1. Key local authority aims for the service as a whole during the next Planning Year.

Note1

1. To contribute effectively to a safer community through confronting offending behaviour, utilising 'what works' research in reducing offending and assisting the offender to address the causes, attitudes and effects of their behaviour.
2. To develop various programmes which are feasible in context and match the individual offenders assessed needs.

2. Please state what liaise and consultation arrangements have taken place regarding the preparation of this plan.

Draft plan submitted to:

- Director of Social Work
- Sheriff Principal and Sheriffs in Angus
- Chairman of the Justices, Clerk to the District Court, Head of Legal Services, Angus Council
- Procurators Fiscal and Sheriff Clerks
- Voluntary Organisations, i.e. SACRO, APEX, NCH, Victim Support
- Chief Constable Tayside
- Angus Drugs Forum
- Community Education (Director of Education)
- Report to the Children's Panel, Chair of the Angus Children's Panel
- Head of Criminal Justice Services, Dundee City Council
- Director of Housing

For Scottish Office Use Only

Date of Receipt

Contact Point:

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SWSG

James Craig Walk

Edinburgh

EH1 1DG Tel: 0131 244

AUTHORITY: ANGUS COUNCIL

3. 100% Funded Services: Main Service Components

a. Diversion from Prosecution

State key local authority objectives for this service, in order of priority.

Note2

1. DIVERSION NOT FUNDED AND UNABLE TO PROVIDE
2.

Please give the following information in relation to the period 1 April 1998 to 31 March 1999.

1. Anticipated number of referrals	<input type="checkbox"/>
2. Anticipated number of diversion cases commenced.	<input type="checkbox"/>

Please enter the service outturns achieved last year.

1. Number of Referrals.	<input type="checkbox"/>
2. Number of diversion cases commenced.	<input type="checkbox"/>

Note 3

Explanation for variance (if applicable)
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Estimated Cost of the Service

£

AUTHORITY: ANGUS COUNCIL

3. b. **Social Enquiry Reports**

State Key local authority objectives for this service, in order of priority.

Note 2

- | |
|--|
| 1. To maintain, and where appropriate, improve the quality of information provided in Social Enquiry Reports. |
| 2. To review the quality of information provided in SERs to ensure they meet the Reviewed National Objectives and Standards for SER's. |

Please give the following information in relation to the period 1 April 1998 to 31 March 1999:

- | | |
|---|-----|
| 1. Anticipated number of SER's. | 775 |
| 2. Anticipated number of SER's which will recommend a community disposal. | 63% |

Please enter the service outturns achieved last year.

- | | |
|--|-----|
| 1. Number of SER's. | 645 |
| 2. Number of SER's recommending community disposal | 43% |

Note 3

Explanation for variance (if applicable)

The number of SER's will include supplementary reports as the geographical requirement of our area requires more travel time to complete these. Supplementary Reports are therefore included as SER's. Stand down reports were not included in last years figures, but have been included this year as it is estimated that stand down reports can be useful to sentencers as an early indicator of need for report or otherwise.

Please note:- The percentage of community disposals for 1997/98 as calculated on the number of Probation, Community and Supervised attending orders as the estimated outcomes of SER's and stand down reports.

The percentage of community disposals for 1996/97 is based on the numbers of Probation and community service orders as a result of SER's only.

Estimated cost of the service	£159,901
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AUTHORITY: ANGUS COUNCIL

3. c. Court Services

State Key local authority objectives for this service, in order of priority.

Note 2

- 1. Implementing the outcomes of the review carried out in 1997/98 of Court Services in Angus.
- 2. Implementing the management systems to monitor, evaluate and support Social Work services to the Court.

Please give the following information in relation to the period 1 April 1998 to 31 March 1999:

1. Number of stand down reports.	1. Written	<input type="text"/>
	2. Oral	<input type="text" value="130"/>
2. Anticipated number of post-sentence interviews.		<input type="text" value="300"/>
3. Other services provided and proportion of time involved.		<input type="text"/>

Please enter the service outturns achieved last year.

1. Number of stand down reports.	1. Written	* see below	<input type="text"/>
	2. Oral		<input type="text"/>
2. Number of post-sentence interviews			<input type="text"/>

Note 3

Explanation for variance (if applicable)

Unable to provide accurate number of stand down reports and post sentence interviews during 1996/97 due to inadequate recording systems.
 First quarter this year 30 stand down reports provided.
 New system for recording stand down reports begins 01/07/97
 There is an anticipated increase in requests of stand down reports for SAO reflecting the increased target.
 The growth in the number of post sentence interviews are attributed to an intended increased presence in the Court as a result of the review of Court Services.

Estimated cost of the service

AUTHORITY: ANGUS COUNCIL

3. d. **Probation Orders**

State Key local authority objectives for this service, in order of priority.

Note 2

1. To implement a programme along with child care services, to meet the needs and requirements of young offenders (15 - 18 year olds) who appear in the adult Court system.
2. Develop individual programme to further develop offending behaviour work. Evaluation of offending behaviour and other programmes.
3. Develop along with other organisations and agencies programmes to address the offenders needs such as unemployment, substance misuse etc. using their skills and expertise.

Please give the following information in relation to the period 1 April 1998 to 31 March 1999:

- | | |
|--|-----|
| 1. Anticipated number of Probation Orders (Other than Section 7) | 120 |
| 2. Anticipated number of Probation Orders with condition of unpaid work (Other than Section 7) | 30 |

Please enter the service outturns achieved last year.

- | | |
|--|----|
| 1. Number of Probation Orders (Other than Section 7) | 95 |
| 2. Number of Section 7 Probation Orders | 39 |

Note 3

Explanation for variance (if applicable)

It is anticipated that numbers of Probation Orders (Other than section 7) will increase to 120, with section 7 orders decreasing to 30. This is to be achieved through discussions with Sheriffs on tariff and more effective presence in Court. Anticipated numbers consistent with current years target and with first 2 months of this year.

Estimated cost of the service	£233,678
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AUTHORITY: ANGUS COUNCIL

3. e. **Community service Orders**

State Key local authority objectives for this service, in order of priority.

Note 2

- | |
|--|
| 1. To examine along with sentencers the balance between the use of Probation, Community Service and Section 7 orders, tariff and breach. |
| 2. To implement the results of the 1997/98 review of Community Service and evaluate performance and management systems. |

Please give the following information in relation to the period 1 April 1998 to 31 March 1999:

- | | |
|---|----------------------------------|
| 1. Anticipated number of CSO's (excluding Section 7). | <input type="text" value="110"/> |
| 2. Anticipated number of section 7 CSO's | <input type="text" value="30"/> |

Please enter the service outturns achieved last year.

- | | |
|--|----------------------------------|
| 1. Number of CSO's (excluding section 7) | <input type="text" value="103"/> |
| 2. Number of section 7 CSOs | <input type="text" value="39"/> |

Note 3

Explanation for variance (if applicable)

Estimated cost of the service	<input type="text" value="£187,820"/>
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AUTHORITY: ANGUS COUNCIL

3. f. Supervised Attendance Orders

State Key local authority objectives for this service, in order of priority.

Note 2

1. Implementation of a cost effective quality service in the light of increased SAO's from the Courts.
2. Review long tern objectives for SAO's. Look at impact of SAO's on other sentencing patterns.

Please give the following information in relation to the period 1 April 1998 to 31 March 1999:

1. Anticipated number of SAOs. 200

Please enter the service outturns achieved last year.

1. Number of SAOs. 91

Note 3

Explanation for variance (if applicable)

This relatively new order has been successfully developed within Angus with support of both district and Sheriff Courts.
 Comfortably surpassed target number of 75 last year.
 It is anticipated that the 1997/98 target of 150 orders will also be achieved as SAO continues to grow.
 The number for 1998/99 reflects the continued but less accelerated growth anticipated.

Estimated cost of the service £88,325

AUTHORITY: ANGUS COUNCIL

3. g. Throughcare Licences/Orders

State Key local authority objectives for this service, in order of priority.

Note 2

1. To review implementation of the revised National Standards for Throughcare, performance indicators and monitoring systems and adapt systems appropriately.
2. To continue to identify from the SER offenders at risk of custody and their families who would wish to accept Throughcare support. To provide quality Throughcare casework support appropriately targeted to offenders who pose a serious risk to the community - violent and sexual offenders and those whose offending is related to substance misuse or mental disorder.
3. To review level of uptake of support and the level of appropriately funded services to meet these needs.

Please give the following information in relation to the period 1 April 1998 to 31 March 1999:

1. Anticipated number of licences/orders

Paroles	16
Life	5
Non parole	2
Supervised Release orders	6
Short Sentence licence	1

Please enter the service outturns achieved last year.

1. licences/orders

Paroles	16
Life	5
Non parole	0
Supervised Release orders	6
Short Sentence licence	0

Note 3

Explanation for variance (if applicable)

The planning assumptions are based on actual numbers for 1996-97 and the anticipated effect of current sentencing practice and the Throughcare requirements of the new national objectives and standards. It should also be noted that all parole and most of the non-parole licences will be continuous for 2 years or more.

Estimated cost of the service

£52,195

AUTHORITY: ANGUS COUNCIL

3. h. **Home Circumstances Reports (excluding reports for home leave)**

State Key local authority objectives for this service, in order of priority.

Note 2

1. Assessments will, as a priority, focus on the offenders assessed risk to the community on release and will therefore continue to reflect our strategy of working towards a safer community.
2. To ensure HCR's meet reviewed National Standards and are properly balanced assessments of needs and risks. Reports will reflect impact of custody on family, issues to be addressed and successful rehabilitation.

Please give the following information in relation to the period 1 April 1998 to 31 March 1999:

1. Anticipated number of HCR's

16

Please enter the service outturns achieved last year.

1. Number of HCR's

16

Note 3

Explanation for variance (if applicable)

During 1996/97 16 HCRs were provided. It is not anticipated that this number will increase.

Estimated cost of the service

£3,802

YEAR: 1998/99

AUTHORITY: ANGUS COUNCIL

3. 1. **Voluntary Assistance following Release from Custody**

State Key local authority objectives for this service, in order of priority.

Note 2

1. To provide a continuous and consistent opportunity for Social Work support to offenders released from custody which is consistent with our Throughcare policy and with National Objectives and Standards. It is recognised that offenders who are assisted/supported in resettling in the community are less likely to re-offend and this work contributes to our commitment to a safer community.

2.

Please give the following information in relation to the period 1 April 1998 to 31 March 1999:

1. Anticipated number of requests for assistance.

30

Please enter the service outturns achieved last year.

1. Number of requests for assistance.

10

Note 3

Explanation for variance (if applicable)

The anticipated numbers for 1997/98 based on the increased focus on throughcare highlighted by the new National Standards. The assumption is that the increased number for 1997/98 will be achieved and maintained in 1998/99.

It also reflects our policy to provide an adequate Throughcare support system starting at the point of sentence for all offenders from Angus who are in custody. We therefore believe that the takeup of voluntary aftercare will increase.

Estimated cost of the service

£7,118

AUTHORITY: ANGUS COUNCIL

100% Funded Services

100% Funded Services

4. a. **Support Programmes**
 (i) List each support programme by service provider.

Note 4	Service Provider	Service Area(s)	Anticipated Service Outturns	Service Outturns achieved last year (see Note 3)	a
1.	Local Authority CJS/Childrens Services/Community Education and Six Circle	Young Offender Initiative for 15-18 Year Olds	25 Referrals 18 Open Probation Cases per year	Not Applicable	To b
2.	Local Authority in Partnership with Dundee City and Perth & Kinross	Project Work with convicted sex offenders	25 Referrals 10 New Cases per year	23 Referrals 7 New Cases	
3.	Local Authority Criminal Justice Services/Children's Services	Domestic Violence	To be finalised	Not applicable	

YEAR: 1998/99

AUTHORITY: ANGUS COUNCIL

4. b. **Supported Accommodation**

(i) List each intensive programme by service provider. Indicate which service are(s) each scheme is intended to support (eg probation, parole, etc) and the estimated service outturn.

Note 4

Service Provider	Accommodation with condition of residence in 1 24hr supervised hostel	Other Supported Accommodation	Cost to your authority for the provision of this service	If a shared service or a service purchased from another organisation has a SLA been entered into?
1. Local Authority	Supported Accommodation Project (Probation/Bail, Home Leave Parole)	Cluster model accommodation for offenders moving on from supported Accommodation Project.		To be finalised
2.				
3.				
4.				
5.				

(ii) Please give the following information in relation to the period 1 April 1998 to 31 March 1999.

Anticipated Service Outturns. List in the order set out at (i) above.

1. Supported Accommodation Project - 4 dedicated beds for Angus use. 4 independent units in 'Cluster Accommodation'.
- 2.
- 3.
- 4.
- 5.

(iii) Please enter the service outturns achieved last year (see Note 3)

1. Levels of outturn are based on historical need which shows that 10% of offenders in Angus experienced problems with accommodation.
- 2.
- 3.
- 4.
- 5.

Estimated cost of the Service

£

AUTHORITY: ANGUS COUNCIL

5. **Non-100% Funded Services**

a. **Bail Services**

State key local authority objectives for this service.

Note 2

1.	N/A
2.	

Please give the following information in relation to the period 1 April 1998 to 31 March 1999.

1. Anticipated number of requests from Court for bail information.	<input type="text"/>
2. Anticipated number of cases requiring bail supervision.	<input type="text"/>
	N/A

Please enter last year's outturns

1. Number of requests from court for bail information	<input type="text"/>
2. Number of cases requiring bail supervision. N/A	<input type="text"/>

Note 3

Explanation for variance (if applicable)
N/A

AUTHORITY: ANGUS COUNCIL

5. b. **Fine Supervision and Other Work Related to endorsement of Financial Penalties, e.g. Mean Enquiry Reports**

State key local authority service objectives.

- | | |
|----|--|
| 1. | That every effort will be made to retain an appropriate variation of disposals available to the Courts as an alternative to custody for fine default |
| 2. | |

Estimated Service outturn to be Achieved in the coming year

- | | | |
|----|---|---------------------------------|
| 1. | Anticipated number of Means Enquiry Reports | <input type="text" value="60"/> |
| 2. | Anticipated number of FSO orders | <input type="text" value="80"/> |

Enter last year's outturn figures

- | | | |
|----|----------------------------------|---------------------------------|
| 1. | Number of Means Enquiry Reports | <input type="text" value="58"/> |
| 2. | Anticipated number of FSO orders | <input type="text" value="86"/> |

AUTHORITY: ANGUS COUNCIL

5. c. **Social Work Services linked to Deferred Sentence**

State key local authority service objectives for the coming year

1. To recommend appropriate deferment to the Court for those offenders who require and are motivated to accept community-based resources to ameliorate the seriousness of their offending behaviour.
2. To recommend deferment if appropriate for those offenders who appear to be motivated to end their offending behaviour without resource to external agencies.

Estimated service outturn to be Achieved in the coming year.

1. Number of supplementary reports require in relation to deferred sentence 100

Enter last year's service outturn.

1. Anticipated number of supplementary reports required in relation to deferred sentence. 96

Note 3

Explanation for variance (if applicable)

AUTHORITY: ANGUS COUNCIL

5. d. Services to Families in Relation to Release of Prisoners

Outline the authority's objectives in relation to the area of activity.

1. To offer throughcare support for families of all prisoners, particularly at the beginning, at the end of the custodial period and immediately upon release
2. To advise and encourage families to maintain contact and to claim assisted visit payments.
3. Actively, promote the use of community resources to achieve income maximisation and positively address poverty.

Indicate the anticipated levels of service

It is estimated that there will be 21 prisoners released on some form of licence and that 30 prisoners will benefit from voluntary assistance following release from custody.

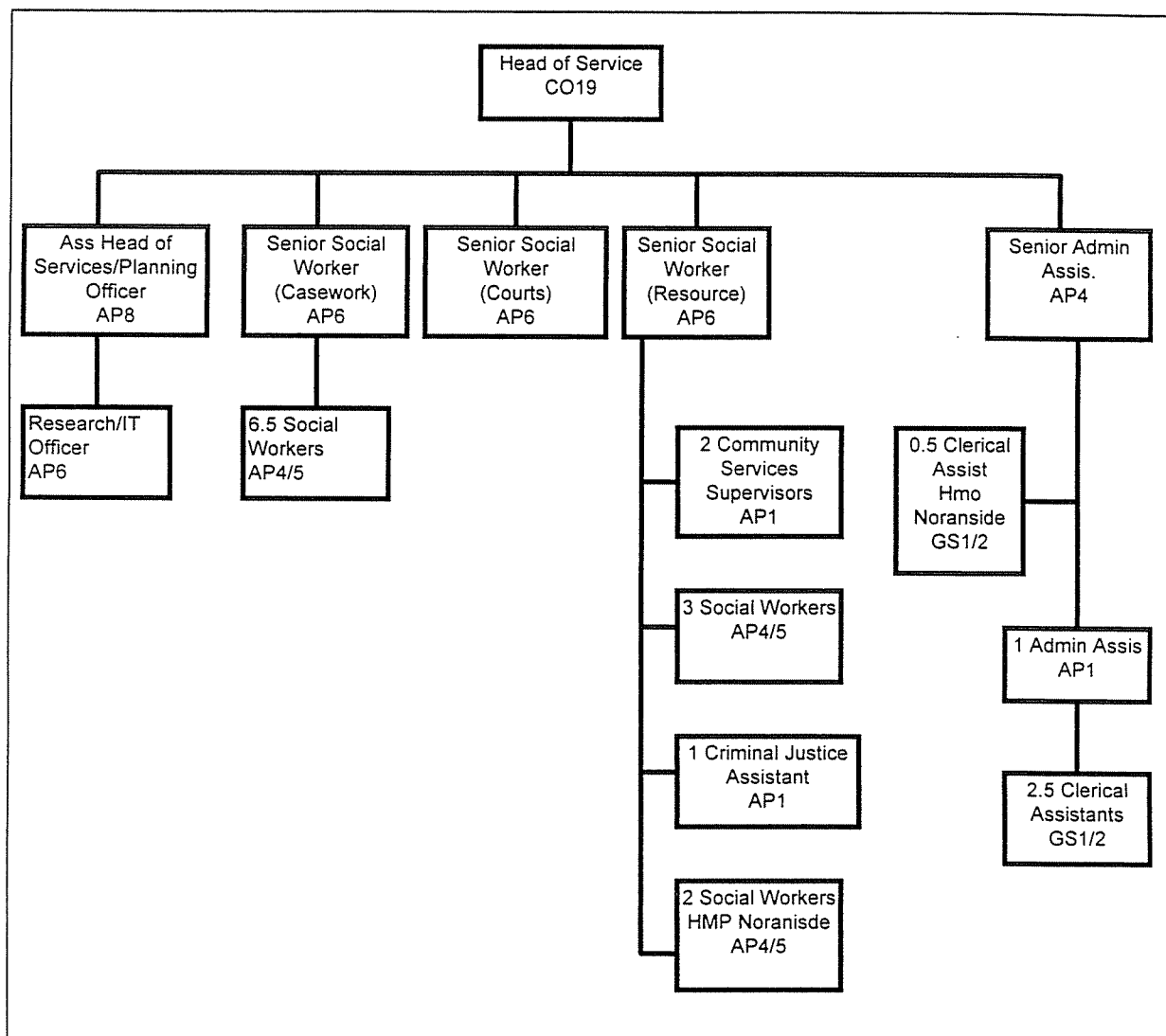
From previous statistical information, over 40% of Angus custodies known to us are under 21. We would anticipate that the majority of Under 21's will be residing with parents or friends, the take up rate of voluntary support is anticipated to be 20% for this group.

With regard to adult prisoner, most reside with parents and we would anticipate a greater percentage of take-up of support offered to families. **The anticipated demand of this service therefore is 25 families.**

AUTHORITY: ANGUS COUNCIL

6. a. **Organisational and Management Structure**

The arrangements for the organisation, management and delivery of the authority's social work services in the criminal justice system (including prison-based social work) and arrangements for the alignment of the organisational arrangements for these services with those for the authority's other services, should be set out along with any accompanying diagram(s). There should also be an explanation of the proposed staffing structure illustrated diagrammatically with a note of staff numbers at each grade and level together with a note showing the funding source for each post not fully 100% funded by SWSG.



AUTHORITY: ANGUS COUNCIL6. b. **Training**

List in order of priority the training needs which you have identified in the coming year across the range of staff who it is proposed will be providing criminal justice social work services.

1. Continue to focus on "what works" with an emphasis on cognitive, behavioural and modular approaches.
2. To further develop a training consortium with Fife, Dundee and Perth & Kinross councils Criminal Justice Services, addressing joint training issues of CJS induction & various modules of Post Qualification Training.
3. Along with other members of CJS training consortium contribute to and use effectively the University of Edinburgh. Post Qualifying training for CJS social workers and fill the gap between basic and advanced training.
4. Further develop evaluation and research training.
5. To achieve a more efficient and effective approach to staff training.
6. Induction, welfare rights, debt counselling, health and safety, partnerships, customer care and practice teaching.
7. Basic skills, SVQ's and post qualifying training with emphasis on:
 - drugs/alcohol
 - individual programmes
 - evaluation
 - MHO
 - child protection/work with sex offenders
8. Management training, particularly: human resources, finance, planning and advanced awards.

State how you propose to meet these needs?

1. Through the development of and maintenance of a CJS Training consortium in partnership with Fife, Dundee and Perth & Kinross council's Criminal Justice Services.
2. Involvement with/of Social Work Departments Training Section in consortium identified issues and in the wider Social Work training needs of staff.
3. Utilisation of available training through negotiated access to courses provided by Training Section
4. By making available current research material and maintaining a departmental cultural ethos of development. Also utilising staff skills and experience to develop and implement innovative approaches. This is to be achieved in partnership with consortium.

5. Through the purchasing of appropriate training courses and conferences as/when available.

AUTHORITY: ANGUS COUNCIL

7. Finance

CRIMINAL JUSTICE SOCIAL WORK SERVICES

ANTICIPATED EXPENDITURE - ALL SERVICES FUNDED BY SWSG (this should not include urban aid funded programmes)

Authority Contact _____

A.	Staff Costs (include Travel & Subsistence)	£	598,188		
B.	Property	£	40,000		
C.	Training	£	12,000		
D.	Transport	£	15,588		
E.	Miscellaneous	£	<u>44,282</u>		
	Total direct costs	£	709,858		
F.	Overhead Recovery Costs (Maximum 8%)	£	56,789	x	%
	Total Estimate	£	<u>766,647</u>		

Signed

(Head of Paid Services)

AUTHORITY : ANGUS COUNCIL

8a. Provisional Forecast - Year 2 (1999/2000)

<u>Service</u>	<u>Anticipated Outturn</u>
Diversion from Prosecution	
SERs	775
Probation Orders (other than Section 7)	130
Probation with a condition of unpaid work	30
Community Service Orders	140
Supervised Attendance Orders (other than section 7)	200
Section 7 CSOs	35
Throughcare Licences/Orders	35
Home Circumstances Reports	20

b. Provision Forecast Year 3 (2000/2001)

<u>Service</u>	<u>Anticipated Outturn</u>
Diversion from Prosecution	
SERs	775
Probation Orders (other than Section 7)	140
Probation with a condition of unpaid work	30
Community Service Orders	140
Supervised Attendance Orders (other than section 7)	200
Section 7 CSOs	40
Throughcare Licences/Orders	40
Home Circumstances Reports	20

Addendum

Tay Project Work

In view of the increased demands for the specialist Tay Project work with sex offenders and the heightening of unit costs within Criminal Justice Services the budget requirement for Tay Project work is separately identified below. If this innovative work is to be continued, appropriate funding will be required. The budget required is as follows:

SER's The estimate of unit cost for Tay Project SER's takes into account the number of staff involved, number of contacts and meetings to complete assessment. This is measured on a ratio 3:1 of time/cost required for a normal SER.

Cost of 40 Tay Project SER's = £24,759

Probation

Assessed unit cost for Tay Project probation order is based on two workers involved in all cases and all Tay Project probation orders are for a period of 3 years. Average length of Probation order in Angus is approximately 12 months. Ratio of unit cost for Tay Project probation is 6:1 of other probation orders.

Cost of 10 new Tay Project Probation orders = £93,472

TAY PROJECT TOTAL

SER's	£24,759
Probation	<u>93,472</u>
	<u>£118,231</u>

