

ANGUS COUNCIL
POLICY & RESOURCES COMMITTEE

ITEM No 1 4

8 MAY 2001

NATIONAL LOTTERY CHARITIES BOARD (COMMUNITY FUND) – THREE WAY AGREEMENT

Report by the Chief Executive

ABSTRACT

This report provides information regarding developments taking place between the National Lottery Charities Board (now known as the Community Fund), Angus Association of Voluntary Organisations, and Angus Council.

1. RECOMMENDATIONS

It is recommended that the Policy & Resources Committee:-

- (a) note the changes to the name of the National Lottery Charities Board (now known as the Community Fund) and the new arrangements for funding from that organisation;
- (b) approve the three way agreement reached between the National Lottery Charities Board, Angus Association of Voluntary Organisations, and Angus Council; and
- (c) encourage voluntary organisations across Angus to work in partnership with the Council to secure maximum funding from the new "community fund".

2. INTRODUCTION AND BACKGROUND

The National Lottery Charities Board recently sought meetings with Angus Council and Angus Association of Voluntary Organisations with a view to:-

- (i) developing clear and effective working links between officers of the Charities Board, the local authority and the CVS in order to:-
 - (a) inform the voluntary sector about patterns of Charities Board funding and Charities Board policies and procedures; and
 - (b) work together strategically to maximise the benefit of the Charities Board funding for the area, to share information on need, and the ways the voluntary sector can meet that need.
- (ii) developing a local action plan with agreed roles and responsibilities for meeting these aims; and
- (iii) identifying opportunities for ongoing consultation about future Charities Board priorities and other relevant issues which may arise.

At the meeting, the representatives from the National Lottery Charities Board (Scotland) provided details of a change of name for the Board and its activities, a new programme, and new assessment processes for grant applications. They indicated that their aim was "to help meet the needs of those of greatest disadvantage in society and to improve the quality of life in the community by distributing Lottery funds to voluntary and community groups". The new arrangements for various grants came into being from 9 April, with the new fund being entitled "Community Fund".

The previous two programmes (Community Involvement and Policy and Disadvantage) have now been rolled into one new programme which has the aim "to help meet the needs of those of greatest disadvantaged society and to improve the quality of life in the community". The grant application processes have been simplified, as have the assessment processes. A note setting out details of the new programme and the rebranding of the National Lottery Charities Board is set out in Appendix 1.

Discussion also took place at the meeting with regard to the development of a three way agreement to try to improve the strategic overview of the National Lottery Charities Board (now known as the Community Fund) in Angus and to improve the uptake of funding from that source for the Angus area. The three way agreement has the following objectives:-

- (a) to inform the voluntary and community sector about the National Lottery Charities Board about gaps and funding;
- (b) to work together strategically; and
- (c) to consult on National Lottery Charities Board work.

A copy of the draft agreement is attached as Appendix 2 to this report.

The National Lottery Charities Board have also provided an area factsheet for Angus which is attached as Appendix 3 to this report. Members may wish to note that the figures set out in that paper exclude recently announced grant awards to Birkhill and Muirhead Village Hall, Wellbank Village Hall, Hospitalfield Trust and Fowlis Village Hall.

3. FUTURE

Details of the various changes are set out in Appendix 1 to this report, but it is maybe useful for members to note that in future the priorities for Lottery distribution from Community Funds will be:-

- (a) fair distribution of funds;
- (b) development of small organisations;
- (c) equal opportunities; and
- (d) developing the voluntary sector.

Over the past five-ten years, the availability of external funding to areas such as Angus has had a refocusing towards the voluntary and community sector, and the Council may wish to recognise that their current partnership with the voluntary sector whilst requiring further enhancement, should stand the Angus area in good stead in terms of receiving a fair share of funding from sources such as Community Fund.

4. FINANCIAL IMPLICATIONS

There are no financial implications arising from this report.

5. HUMAN RIGHTS

There are no human rights implications arising from this report.

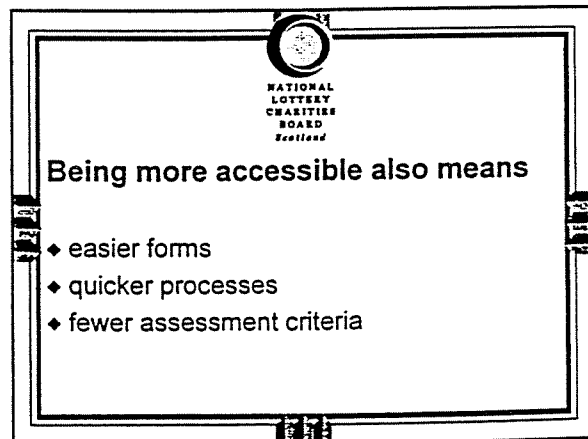
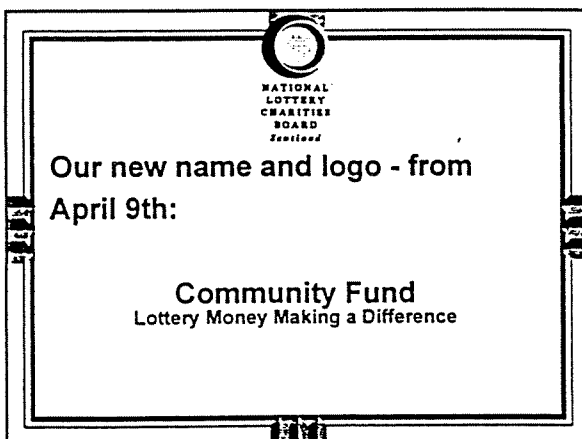
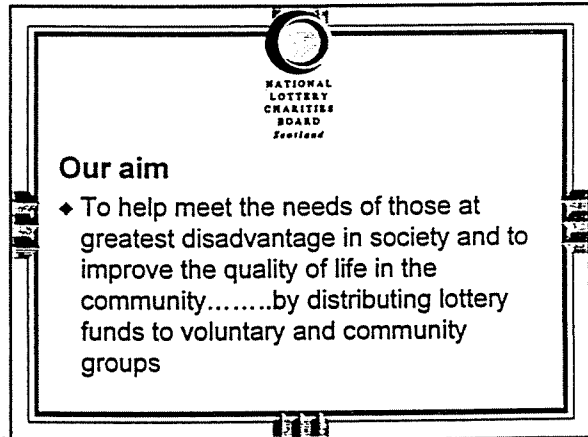
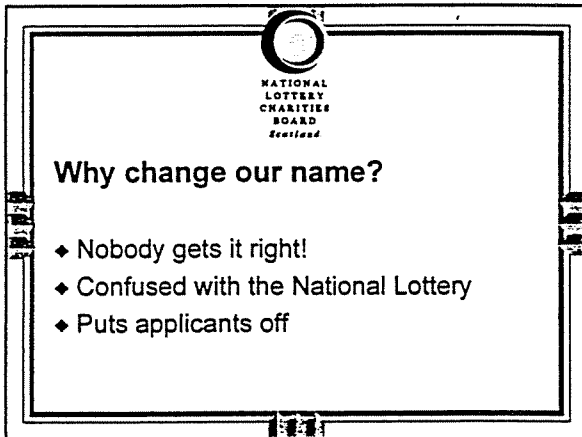
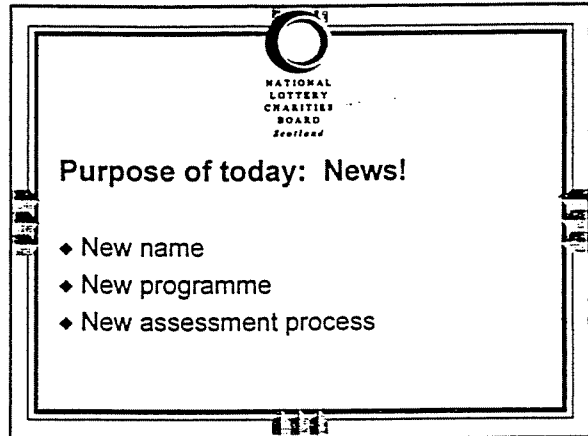
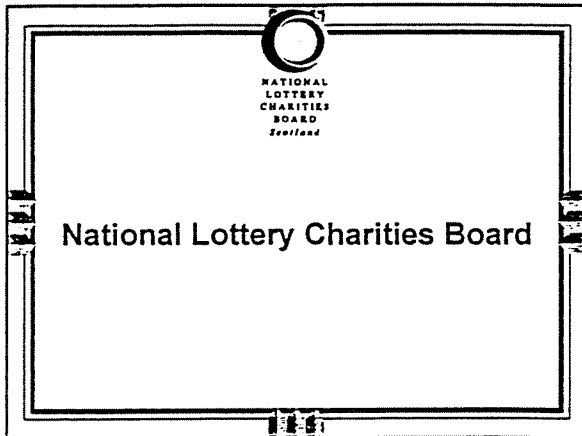
6. CONSULTATION

All Chief Officers have been consulted during the preparation of this report.


A B Watson
Chief Executive

Note: No background papers, as defined by Section 50D of the Local Government (Scotland) Act 1973 (other than any containing confidential or exempt information), were relied on to any material extent in preparing this paper.

New programmes/rebranding




New programmes/rebranding


NATIONAL
LOTTERY
CHARITIES
BOARD
Scotland

Two programmes merged into one


- ✗ Community Involvement
- ✗ Poverty and Disadvantage

✓ **One new programme**


NATIONAL
LOTTERY
CHARITIES
BOARD
Scotland


Our programme has one main aim

- ◆ To help meet the needs of those at greatest disadvantage in society and to improve the quality of life in the community


NATIONAL
LOTTERY
CHARITIES
BOARD
Scotland


Two programmes merged into one

- ◆ Grants for projects up to £60,000
- ◆ Grants for projects over £60,000


NATIONAL
LOTTERY
CHARITIES
BOARD
Scotland


Grants for projects over £60,000

- ◆ form is easier to fill in
- ◆ asks for more information about the project - not the organisation
- ◆ puts questions in a more sensible order
- ◆ asks how the project fits with our aims and our priorities.


NATIONAL
LOTTERY
CHARITIES
BOARD
Scotland

Grants for projects up to £60,000


- ◆ pilot in Scotland
- ◆ easier form
- ◆ only four assessment criteria


NATIONAL
LOTTERY
CHARITIES
BOARD
Scotland

Grants for projects up to £60,000


- ◆ quicker decisions
- ◆ no income limit
- ◆ no assessment visit

New programmes/rebranding




Building projects

- ◆ For building projects costing more than £30,000 - don't use this form!



Other changes


- ◆ Unlikely to make grants in excess of £350,000



What doesn't change?

Assessment criteria covering


- ◆ the applicant organisation
- ◆ the project
- ◆ user involvement and diversity
- ◆ fit with our main aim



What doesn't change?


Our priorities

- ◆ Fair distribution of funds
- ◆ Small Organisations
- ◆ Equal Opportunities
- ◆ Developing Voluntary Sector



But...

- ◆ projects will benefit from addressing one of our priorities
- ◆ form will ask which priority is addressed
- ◆ current priorities will run until 2002




What doesn't change?

Eligibility

- ◆ charitable aims
- ◆ independently established
- ◆ bank account
- ◆ independently examined accounts


New programmes/rebranding


NATIONAL
LOTTERY
CHARITIES
BOARD
Scotland

What doesn't change?

What we fund

- ◆ we'll fund everything we used to fund
- ◆ from toys for toddlers ...
- ◆ ... to centres for communities



NATIONAL
LOTTERY
CHARITIES
BOARD
Scotland

What doesn't change?

Awards for All

- ◆ grants £500 to £5000
- ◆ annual income under £15,000
- ◆ charities, sports, arts, heritage

Enquiries Line: 0131 659 7000


NATIONAL
LOTTERY
CHARITIES
BOARD
Scotland

What to do next ...

Applying to old programmes?

- ◆ get form before end of Feb
- ◆ return before end of June


NATIONAL
LOTTERY
CHARITIES
BOARD
Scotland

What to do next ...

Applying to new programmes?

- ◆ forms available from April 9th


NATIONAL
LOTTERY
CHARITIES
BOARD
Scotland

What to do next ...

Enquiries Line: **0870 240 2391**
Application Line: **08457 919191**

Website: **www.nlcb.org.uk**
E-mail: **enquiries@scotland.nlcb.org.uk**

Three Way Agreement - ANGUS

Objective	Success Will Be Shown By ...	What the Partners Will Do		
		NLCB	Angus Council	Angus Council for Voluntary Organisations
<p>Objective 1: Inform the voluntary & community sector about the NLCB and about gaps in funding</p> <p>Provide accurate information to the voluntary and community sector about the NLCB's procedures, programmes and priorities with an aim of targeting funds more effectively to projects in high priority areas or to projects which meet NLCB's other priorities.</p>	<p>NLCB receives more quality applications from the area</p> <p>Circulation of a 'new generation' of leaflets including</p> <ul style="list-style-type: none"> • 'Exploding the myths' • 'Is this the kind of thing you fund?' • 'A guide to good applications' • 'How to present you finances' <p>Linked websites</p> <p>Provision of targeted information on the kinds of 'capacity building' projects we can fund in Angus with specific examples</p> <p>Unsuccessful applicants making subsequent successful applications</p>	<ul style="list-style-type: none"> • Provide leaflets on the Charities Board criteria and the sort of projects we fund. • Distribute to partners (May) <p>Provide an article for use by partners in electronic format (by May)</p> <p>Insert paragraph on support from CVS/LA into feedback letters (ongoing)</p>	<p>Incorporate as part of Angus.net (contact is Carol Petrie)</p> <p>Create links to NLCB website (by Oct)</p> <p>Disseminate NLCB article</p> <p>Assist unsuccessful applicants to develop and encourage them to reapply when ready (ongoing).</p> <p>Inform NLCB of relevant enquiry levels.</p>	<p>Disseminate to Voluntary Sector</p> <p>Create link when website is in place</p> <p>Publish NLCB article in CVS newsletter</p> <p>Assist unsuccessful applicants to develop and encourage them to reapply when ready (ongoing).</p> <p>Inform NLCB of relevant enquiry levels.</p>

Three Way Agreement - ANGUS

Objective	Success Will Be Shown By ...	What the Partners Will Do		
		NLCB	Angus Council	Angus Council for Voluntary Organisations
Objective 2: Work Together Strategically				
Work together and develop appropriate structures at local level to share relevant information about each other's roles and responsibilities.	Partners having increased awareness of NLCB's procedures	Update contact details Provide training to CVS and Council Officers on application procedures (June)	Identify appropriate contact officer and inform partners (by end March) Identify relevant council Officers to participate and provide venue and cascade learning through advice to groups (May)	Update contact details Participate in training and cascade learning through advice to groups
	All partners maintaining an ongoing constructive dialogue.	<ul style="list-style-type: none"> • Quarterly contact (on agreement objectives). • Participation in an annual high level review meeting • Collate and circulate updates 	<ul style="list-style-type: none"> • Quarterly contact (on agreement objectives). • Participation in an annual high level review meeting • Collate and circulate updates 	<ul style="list-style-type: none"> • Quarterly contact (on agreement objectives). • Participation in an annual high level review meeting • Collate and circulate updates
	A report provided to the Council's policy and resource committee on agreement and joint working and produce a joint press release	Contribute to paper by writing up this plan and share in joint press release	Write paper for 20 March meeting and clear resulting press release with partners	Share in joint press release

Three Way Agreement - ANGUS

What the Partners Will Do	
Objective	Success Will Be Shown By ...
Objective 3: Consult on the NLCB's work	Objective 3: Consult on the NLCB's work
Share accurate data on need and the ways the voluntary sector can meet that need.	Provision of information on needs in the area
Identify ways to consult on an ongoing basis about the NLCB's procedures, programmes and priorities.	Involvement in the NLCB strategic planning 2002-2005
	NLCB
Read Community Plan Email Corporate Plan CVS Corporate Plan Access angus.net for information as appropriate	Provide Corporate Plan
Participate in annual review meetings Circulate consultation document by Email (May)	Participate in annual review meetings Respond to Consultation (July)
	Angus Council
Provide CVS Corporate Plan (summer)	Participate in annual review meetings Respond to Consultation (July)
	Angus Council for Voluntary Organisations

AREA FACTSHEET

ANGUS



NLCB Contact:

Winnie Foxton
Grants Officer
National Lottery Charities Board
Ground Floor
Norloch House
36 King's Stables Road
Edinburgh EH1 2EJ
Tel: 0131 221 7100
Email: wfoxton@scotland.nlcb.org.uk

CVS Contact:

Mai Hearne
Co-ordination & Development Officer
Angus Association of Voluntary
Organisations
Arbroath Business Centre
Dens Road
Arbroath DD11 1RS
Tel: 01241 430 349
Email: aavo.angus@vigin.net

Local Authority Contact:

Bill Strachan
Head of Policy and Performance Unit
Angus Council
Council Headquarters
The Cross
Forfar DD8 1BX
Tel: 01307 461460
Email: strachanbd@angus.gov.uk

General Enquiries Contact: Emma Hutton
Tel 0870 240 2391 or enquiries@scotland.nlcb.org.uk
Awards for All Enquiries: Tel 0131 659 7000
www.nlcb.org.uk – includes a full database of all awards

**Community Involvement and Poverty and Disadvantage Grants Programme.
Grant Statistics for Angus for the period April 1999 – September 2000¹**
Total awarded: £1,264,901

	Angus <i>(2.15% of total population)</i>	Scotland <i>(100%)</i>
Number of Applications	20	890
% of total applications	2.25%	
Average Ask (£)	£130,198	£159,495
Number of Grants made	11	409
% of total grants	2.69%	
Average Award (£)	£114,991	£128,402
Success Rate	55%	46%

Wellbank Hospital Field / Forvie (In Dundee)



Statistics to Note

There has been a significant improvement in the number and quality of applications from organisations in Angus which now receives more funds that the proportion of its population and has a higher than average success rate. We would like to receive more small applications, particular to the new medium grants programme for projects up to £60,000. And we are happy to encourage more applications to the Awards for All scheme.

**Community Involvement and Poverty and Disadvantage Grants Programme.
Grant Statistics for Angus for the period
October 1995 - March 1999²**
Total awarded: £1,211,374

	Angus <i>(2.15% of total population)</i>	Scotland <i>(100%)</i>
Number of Applications	89	5,274
% of total applications	1.69%	
Average Ask (£)	£115,166	£131,320
Number of Grants made	21	1,277
% of total grants	1.64%	
Average Award (£)	£57,684	£86,536
Success Rate	24%	24%

¹ In the period March 1999 to September 2000 Scotland-Wide grants accounted for 13.26% of all application requests and received 13.6% of total funds.

² In this period Scotland-Wide grants accounted for 8.46% of all application requests and received 9.55% of grants.

Small Grants and Awards for All Statistics

The Grant Scheme Awards for All was introduced in April 1998 for projects with an annual income of less than £15,000 for grants of between £500 and £5,000. The scheme is run jointly with the other lottery distributors and about half of the awards are made from Charities Board funds. The scheme has allowed us significantly to increase the number of awards to very small and locally based organisations.

	Angus <i>(2.15% of total population)</i>	Scotland <i>(100%)</i>
Number of Applications	160	7677
% of total applications	2.08%	
Average Ask (£)	£2,443	£2,722
Number of Grants made	108	4875
% of total grants	2.22%	
Average Award (£)	£2,165	£2,410
Success Rate	67.50	67.50%

Previous Liaison History

We are keen to develop clearer liaison arrangements with the local authority and to develop strategic relationships with both the local authority and the CVS.



The NLCB particularly seeks the following kinds of applications

We fund projects that tackle poverty and disadvantage and improve quality of life in the community. Within these programmes we have 4 funding priorities. These are to achieve a fair spread of grants across the country, make more grants to small organisations, help to strengthen and develop the voluntary sector and support projects which help promote equality.

Our grant-making budget will significantly reduce in 2001/02 from around £36m to £26m. So we will only be able to continue to make a large number of grants if the average size of grant reduces (currently it is £120,000). We are unlikely to make grants for £350,000 or more, unless applicants make a specific case that there are exceptional circumstances. This will apply even if the project and organisation meet all our standard criteria.